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PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

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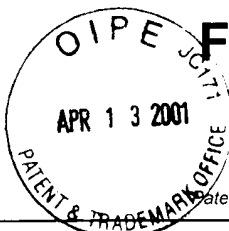
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/699,136	
	<b>Filing Date</b>	October 27, 2000	
	<b>First Named Inventor</b>	Daniel SANTI, et al.	
	<b>Group Art Unit</b>	1642	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number Of Pages In This Submission</b>	12	<b>Attorney Docket No.</b>	300622004900

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Declaration / Power of Attorney;	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN POSTCARD
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts Under 37 C.F.R. 1.53(b)	Remarks	
<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts under 37 CFR 1.53(b)		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	CAROLYN A. FAVORITO (39,183) MORRISON & FOERSTER 3811 VALLEY CENTRE DRIVE, SUITE 500 SAN DIEGO, CA 92130
Signature	
Date	April 10, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 10, 2001.
 Melanie Carmosino

# FEE TRANSMITTAL FOR FY 2001



Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/699,136
Filing Date	October 27, 2000
First Named Inventor	Daniel SANTI, et al.
Examiner Name	Unassigned
Group Art Unit	1642
Attorney Docket No.	300622004900

TOTAL AMOUNT OF PAYMENT

(\$ 215.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
1	x		\$
Independent Claims	0	x	\$
Multiple Dependent	x		\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
104	18	203	9	Claims in excess of 20	
105	80	202	40	Independent claims in excess of 3	
104	210	204	135	Multiple dependent claims, if not paid	
106	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
112	130	112	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (FCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 160)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Carolyn A. Favorito

Registration No.  
(Attorney/Agent)

39,183

Telephone

(858) 720-5195

Signature

CFA

Date

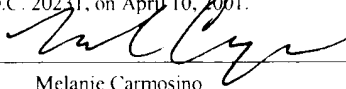
April 10, 2001



PATENT  
Docket No. 300622004900

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on April 10, 2001.

  
Melanie Carmosino

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel SANTI, et al.

Serial No.: 09/699,136

Filing Date: October 27, 2000

For: HETEROLOGOUS PRODUCTION OF  
POLYKETIDES

Examiner: Not assigned

Group Art Unit: 1642

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under  
37 C.F.R. § 1.53(f) dated March 29, 2000, attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the  
surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Declaration of Small Entity Status.
- ☒ A Petition for Extension of Time for (1) month.
- ☒ Assignment and Assignment Recordation Cover Sheet (3 pages)
- ☒ Copy of the Notice to File Missing Parts.
- ☒ Transmittal and Fee Transmittal

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	- 20 =	0	x \$18.00	\$0
INDEPENDENT CLAIMS	- 3 =	1	x \$78.00	\$0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$0
			BASIC FEE	\$0.00
			TOTAL OF ABOVE CALCULATIONS =	\$0
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$0
SURCHARGE FOR FILING MISSING PARTS				\$65.00
			TOTAL =	\$65

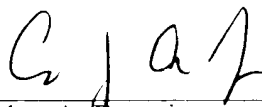
- ☐ A check in the amount of \$ \_\_\_\_ is attached.
- ☐ Charge \$160 to **Deposit Account No. 03-1952**.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: April 10, 2001

By:

  
Carolyn A. Favorito  
Registration No. 39,183

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (858) 720-5195  
Facsimile: (202) 887-0763



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,136	10/27/2000	Daniel Santi	300622004900

Morrison & Foerster LLP  
3811 Valley Centre drive  
Suite 500  
San Diego, CA 92130-2332

**FORMALITIES LETTER**

\*OC000000005666352\*

Date Mailed: 01/10/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*D. Martin T-y*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE